Proposal Institutional Information Quick Reference

Sponsored Projects & Contracting Services
www.rgw.arizona.edu/services/sponsored-projects-services

Contact Information
Phone: (520) 626-6000
Fax: (520) 626-4137
E-mail: sponsor@email.arizona.edu

Express Mailing Address
888 N Euclid Room 510
Tucson AZ 85719-4824

Institutional Information

Applicant/Applicant Organization
Arizona Board of Regents, University of Arizona

DUNS Number
806345617

Employer Identification Number (EIN)
742652689

NIH/PHS Entity Identification Number (EIN)
1742652689A1

Organization Type
PUBLIC/STATE CONTROLLED INSTITUTION OF HIGHER EDUCATION; An 1862 Land-Grant University; Established in 1885

Tax Exempt Status
Exempt from income tax under Internal Revenue Code Section 115; not 501(c)(3); AZ State Tax License: 20221243

Authorized Representatives for the University of Arizona

Authorizing Official
Sangita Pawar, PhD, MBA
Vice President, Operations Research, Innovation and Impact
University of Arizona
P.O. Box 210158, Rm 510
Tucson AZ 85721-0158
Telephone: (520) 626-6000
E-mail: sponsor@email.arizona.edu

Administrative Official/Fiscal Officer
Paul Sandoval, Director, Postaward
Sponsored Projects & Contracting Services
University of Arizona
P.O. Box 210158, Rm 510
Tucson AZ 85721-0158
Telephone: (520) 626-6000
E-mail: sponsor@email.arizona.edu

Checks Made Payable to
The University of Arizona

US Mail Payment Address
University of Arizona
Sponsored Projects & Contracting Services/Bursar Office
1303 E. University Blvd, Box 3
Tucson AZ 85719-0521

Express Mail Payment Address
University of Arizona
Sponsored Projects & Contracting Services/Bursar
888 N Euclid Room 104
Tucson AZ 85719

State Legislative District
AZ-003

House of Representatives Congressional District
AZ-003

Congressional/Legislative District Locator: azredistricting.org/districtlocator

DHHS Rate Agreement Date
March 5, 2019

Codes

ASAP (Automated Standard Application for Payments) Recipient ID
0448408

CAGE (Commercial and Government Entity)
0LJH3

Contractor Establishment
04-201-7848

FICE (Federal Interagency Committee on Education)
00-1083

NAICS (North American Industry Classification System) (primary)
611310

NSF (National Science Foundation) Organization
00-1083-5000

OPE (Office of Postsecondary Education) Number (US Dept. of Education)
00108300

Assurances

CCR/SAM.gov
Expires 04/15/2021

PHS Animal Welfare Assurance
D16-00159
Expires 08/31/2023

Human Subjects Federalwide Assurance (FWA)
00004218
Expires 11/19/2023

Research Misconduct Policy Assurance
DHHS ORI Certification
Expires 04/30/2021

Revised 06/17/2020 – Please see Institutional Information webpage for current, complete information.
## Facilities and Administrative Cost Rates

<table>
<thead>
<tr>
<th>Project Type</th>
<th>Effective Date</th>
<th>Rate</th>
</tr>
</thead>
<tbody>
<tr>
<td>On-Campus Organized Research</td>
<td>07/01/16 – 06/30/20</td>
<td>53.5%</td>
</tr>
<tr>
<td>Off-Campus Organized Research</td>
<td>07/01/16 – 06/30/20</td>
<td>26.0%</td>
</tr>
<tr>
<td>On-Campus Instruction</td>
<td>07/01/16 – 06/30/20</td>
<td>50.0%</td>
</tr>
<tr>
<td>Off-Campus Instruction</td>
<td>07/01/16 – 06/30/20</td>
<td>26.0%</td>
</tr>
<tr>
<td>On-Campus Other Sponsored Activities</td>
<td>07/01/16 – 06/30/20</td>
<td>47.0%</td>
</tr>
<tr>
<td>Off-Campus Other Sponsored Activities</td>
<td>07/01/16 – 06/30/20</td>
<td>26.0%</td>
</tr>
</tbody>
</table>

**Definition of Off-Campus**

The off-campus rate is applicable to those projects that are conducted in facilities not owned, leased or operated by the University. If the project is conducted in leased space and lease costs are directly charged to the project, then the off-campus rate must be used. A project is considered off-campus if more than 50% of its salaries and wages are incurred at an off-campus facility. If a project is determined to be off-campus, it shall be considered wholly off-campus. Separate on and off-campus rates will not be used for a single project.

**Clinical Trial Rate**

The University will accept a 30% Total Direct Cost F&A rate for non-federal clinical trials that meet the eligibility requirements and use the standard University agreement. The University must ensure that federal sponsors do not subsidize F&A costs of other sponsors. The clinical trial F&A rate of 30% TDC is a fair rate and adequately reimburses the University for F&A costs associated with conducting clinical trials. Studies not meeting all eligibility criteria, not using the standard agreement or similar terms and federally funded clinical trials will be budgeted at the full on-campus research F&A rate.

## Fringe Benefit Rates

**Employee Related Expenses (ERE RATES) 7/1/19-6/30/20**

<table>
<thead>
<tr>
<th>Employee Category</th>
<th>Rate</th>
<th>See Rate Agreement for more detailed information. The rate agreement date changes every year before the beginning of the fiscal year (July 1) when the fringe benefit rates are negotiated.</th>
</tr>
</thead>
<tbody>
<tr>
<td>UA Employees Full-Benefit</td>
<td>31.4%</td>
<td></td>
</tr>
<tr>
<td>Faculty - Ancillary</td>
<td>20.0%</td>
<td></td>
</tr>
<tr>
<td>Classified - Temporary</td>
<td>15.8%</td>
<td></td>
</tr>
<tr>
<td>Student Employees</td>
<td>2.00%</td>
<td></td>
</tr>
<tr>
<td>Graduate Assistants</td>
<td>11.0%</td>
<td></td>
</tr>
<tr>
<td>Graduate Assistant</td>
<td></td>
<td>As of 06/16/15 Tuition Remission is included as a mandatory benefit budgeted separate and distinct from ERE/fringe benefits, usually in the Other category of operations. It is still excluded from indirect cost calculations.</td>
</tr>
<tr>
<td>Tuition Remission</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

## Miscellaneous

**Contract Pricing Proposal Cover Sheet**

(NASA and DOD)

Office of Naval Research
San Diego Regional Office
140 Sylvester Rd, Bldg 140, Rm 218
San Diego CA 92106-3521
Telephone: (619) 221-5490

**Cognizant Audit Agency:**

DHHS Audit Agency
Regional Inspector General for Audit Services
90 7th Street, Suite 3-650
San Francisco CA 94103
Telephone: (415) 437-8360

**ONR System Approval**

05/22/2018 purchasing and billing systems, 12/15/2017 property control system

**Disclosure Statement**

05/05/2017, Cost Accounting Standards Board (CASB) Form DS-2 accounting system approval

**UA Fact Book**

www.factbook.arizona.edu/

**State Clearing House**

SF424: Is Application Subject to Review by State Under Executive Order 12372 Process?

Program is not covered by E. O. 12372

**Supplemental Compensation Rate**

General University Policy – 1/3 of academic year salary (3 summer/9 academic) or academic salary x 0.00072 = hourly supplemental compensation rate for 464 hours.

NSF Compensation Policy – NSF limits compensation, see the linked web page for more information.

**Hispanic Serving Institution (HSI)**

The University of Arizona and The University of Arizona South are Hispanic-Serving Institutions. Applications under Title V must originate from:

Applicant Name: University of Arizona (The)
OPE ID: 00108300

Applicant Name: The University of Arizona, University of Arizona South
OPE ID: 00108302

**Minority Institution**

The University of Arizona is not a Minority Institution.

The University of Arizona is an American Indian and Alaska Native-Serving Institution.