## Proposal Institutional Information Quick Reference

### Contact Information

| Phone: (520) 626-6000 |
| Fax: (520) 626-4137 |
| E-mail: sponsor@email.arizona.edu |

### Express Mailing Address

888 N Euclid Room 510  
Tucson AZ 85719-4824

### Institutional Information

**Applicant/Applicant Organization:** Arizona Board of Regents, University of Arizona

- **DUNS Number:** 806345617
- **Employer Identification Number (EIN):** 742652689
- **NIH/PHS Entity Identification Number (EIN):** 1742652689A1

- **Organization Type:** PUBLIC/STATE CONTROLLED INSTITUTION OF HIGHER EDUCATION; An 1862 Land-Grant University; Established in 1885

- **Tax Exempt Status:** Exempt from income tax under Internal Revenue Code Section 115; not 501(c)(3); **AZ State Tax License:** 20221243

### Authorized Representatives for the University of Arizona

- **Authorizing Official:** Sangita Pawar, PhD, MBA  
  Vice President, Operations Research, Innovation and Impact  
  University of Arizona  
  P.O. Box 210158, Rm 510  
  Tucson AZ 85721-0158  
  Telephone: (520) 626-6000  
  E-mail: sponsor@email.arizona.edu

- **Administrative Official/Fiscal Officer:** Paul Sandoval, Director, Postaward  
  Sponsored Projects & Contracting Services  
  University of Arizona  
  P.O. Box 210158, Rm 510  
  Tucson AZ 85721-0158  
  Telephone: (520) 626-6000  
  E-mail: sponsor@email.arizona.edu

### Checks Made Payable to The University of Arizona

- **US Mail Payment Address:**  
  University of Arizona  
  Sponsored Projects & Contracting Services/Bursar Office  
  1303 E. University Blvd, Box 3  
  Tucson AZ 85719-0521

- **Express Mail Payment Address:**  
  University of Arizona  
  Sponsored Projects & Contracting Services/Bursar  
  888 N Euclid Room 104  
  Tucson AZ 85719

### State Legislative District

- **AZ-003**  
  Congressional/Legislative District Locator:  
  [azredistricting.org/districtlocator](http://azredistricting.org/districtlocator)

### DHHS Rate Agreement Date

- **March 5, 2019**

### Codes

- **ASAP (Automated Standard Application for Payments) Recipient ID:** 0448408
- **CAGE (Commercial and Government Entity):** 0LJH3
- **Contractor Establishment:** 04-201-7848
- **FICE (Federal Interagency Committee on Education):** 00-1083
- **NAICS (North American Industry Classification System) (primary):** 611310
- **NSF (National Science Foundation) Organization:** 00-1083-5000
- **OPE (Office of Postsecondary Education) Number (US Dept. of Education):** 00108300

### Assurances

- **CCR/SAM.gov:** Expires 04/15/2021
- **PHS Animal Welfare Assurance:** D16-00159  
  Expires 08/31/2023
- **Human Subjects Federalwide Assurance (FWA):** 00004218  
  Expires 11/19/2023
- **Research Misconduct Policy Assurance:** DHHS ORI Certification  
  Expires 04/30/2021

Revised 06/03/2020 – Please see [Institutional Information](#) webpage for current, complete information.
Facilities and Administrative Cost Rates

<table>
<thead>
<tr>
<th>Project Type</th>
<th>Effective Date</th>
<th>Rate</th>
</tr>
</thead>
<tbody>
<tr>
<td>On-Campus Organized Research</td>
<td>07/01/16 – 06/30/20</td>
<td>53.5%</td>
</tr>
<tr>
<td>Off-Campus Organized Research</td>
<td>07/01/16 – 06/30/20</td>
<td>26.0%</td>
</tr>
<tr>
<td>On-Campus Instruction</td>
<td>07/01/16 – 06/30/20</td>
<td>50.0%</td>
</tr>
<tr>
<td>Off-Campus Instruction</td>
<td>07/01/16 – 06/30/20</td>
<td>26.0%</td>
</tr>
<tr>
<td>On-Campus Other Sponsored Activities</td>
<td>07/01/16 – 06/30/20</td>
<td>47.0%</td>
</tr>
<tr>
<td>Off-Campus Other Sponsored Activities</td>
<td>07/01/16 – 06/30/20</td>
<td>26.0%</td>
</tr>
</tbody>
</table>

**Definition of Off-Campus**

The off-campus rate is applicable to those projects that are conducted in facilities not owned, leased or operated by the University. If the project is conducted in leased space and lease costs are directly charged to the project, then the off-campus rate must be used. A project is considered off-campus if more than 50% of its salaries and wages are incurred at an off-campus facility. If a project is determined to be off-campus, it shall be considered wholly off-campus. Separate on and off-campus rates will not be used for a single project.

**Clinical Trial Rate**

The University will accept a 25% Total Direct Cost F&A rate for non-federal/sub-federal clinical trials that meet the eligibility requirements and use the standard University agreement. The University must ensure that federal sponsors do not subsidize F&A costs of other sponsors. The clinical trial F&A rate of 25% TDC is a fair rate and adequately reimburses the University for F&A costs associated with conducting clinical trials. Studies not meeting all the eligibility criteria or studies not using the standard agreement or similar terms will be budgeted at the full on campus research F&A rate.

**Fringe Benefit Rates**

**Employee Related Expenses (ERE RATES) 7/1/19-6/30/20**

<table>
<thead>
<tr>
<th>Employee Category</th>
<th>Rate</th>
<th>See Rate Agreement for more detailed information. The rate agreement date changes every year before the beginning of the fiscal year (July 1) when the fringe benefit rates are negotiated.</th>
</tr>
</thead>
<tbody>
<tr>
<td>UA Employees Full-Benefit</td>
<td>31.4%</td>
<td></td>
</tr>
<tr>
<td>Faculty - Ancillary</td>
<td>20.0%</td>
<td></td>
</tr>
<tr>
<td>Classified - Temporary</td>
<td>15.8%</td>
<td></td>
</tr>
<tr>
<td>Student Employees</td>
<td>2.00%</td>
<td></td>
</tr>
<tr>
<td>Graduate Assistants</td>
<td>11.0%</td>
<td></td>
</tr>
</tbody>
</table>

Graduate Assistant Tuition Remission: As of 06/16/15 Tuition Remission is included as a mandatory benefit budgeted separate and distinct from ERE/fringe benefits, usually in the Other category of operations. It is still excluded from indirect cost calculations.

**Miscellaneous**

**Contract Pricing Proposal Cover Sheet** (NASA and DOD)

<table>
<thead>
<tr>
<th>Contract Administration Office:</th>
<th>Cognizant Audit Agency:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Office of Naval Research</td>
<td>DHHS Audit Agency</td>
</tr>
<tr>
<td>San Diego Regional Office</td>
<td>Regional Inspector General for Audit Services</td>
</tr>
<tr>
<td>140 Sylvester Rd, Bldg 140, Rm 218</td>
<td>90 7th Street, Suite 3-650</td>
</tr>
<tr>
<td>San Diego CA 92106-3521</td>
<td>San Francisco CA 94103</td>
</tr>
<tr>
<td>Telephone: (619) 221-5490</td>
<td>Telephone: (415) 437-8360</td>
</tr>
</tbody>
</table>

**ONR System Approval** 05/22/2018 purchasing and billing systems, 12/15/2017 property control system

**Disclosure Statement** 05/05/2017, Cost Accounting Standards Board (CASB) Form DS-2 accounting system approval

**UA Fact Book** www.factbook.arizona.edu/

**State Clearing House**

SF424: Is Application Subject to Review by State Under Executive Order 12372 Process? Program is not covered by E. O. 12372

**Supplemental Compensation Rate**

General University Policy – 1/3 of academic year salary (3 summer/9 academic) or academic salary x 0.00072 = hourly supplemental compensation rate for 464 hours.

NSF Compensation Policy – NSF limits compensation, see the linked web page for more information.

**Hispanic Serving Institution (HSI)**

Applications under Title V must originate from:

<table>
<thead>
<tr>
<th>Applicant Name</th>
<th>OPE ID</th>
</tr>
</thead>
<tbody>
<tr>
<td>University of Arizona (The)</td>
<td>00108300</td>
</tr>
<tr>
<td>The University of Arizona, University of Arizona South</td>
<td>00108302</td>
</tr>
</tbody>
</table>

**Minority Institution**

The University of Arizona is not a Minority Institution.

The University of Arizona is an American Indian and Alaska Native-Serving Institution.

Revised 06/03/2020 – Please see Institutional Information webpage for current, complete information.