Institutional Animal Care and Use Committee Policy 103

**TITLE:** Investigation of Concerns Involving the Care and Use of Animals

**PURPOSE:** To describe the procedures for the investigation of non-compliance or welfare issues that pertain to the care and use of animals at the University of Arizona.

**REVIEW/REVISIONS:** Permanent amendment/revisions to this policy must be presented to the Institutional Animal Care and Use Committee (IACUC) for review before implementation, with the exception of updating internet URLs, if required. Continuing review of this policy by the IACUC will occur at least every three years.

**EFFECTIVE DATE:** 06/10/2013; Revised/Reapproved: 07/11/2016

**PERSON(S) RESPONSIBLE:** University employees and students and members of the public.

**POLICY/PROCEDURES:**

The IACUC investigates non-compliance with federal or institutional regulations and requirements, or animal welfare issues. This includes concerns about any animal owned and/or used by the University of Arizona for research and teaching, regardless of the location of the animal. Concerns can be reported by University employees, students, volunteers or members of the public.

In addition, there is an expectation that University employees, students and volunteers involved in the care and use of animals are aware of the federal and institutional regulations and requirements that pertain to their use. It is also expected that these individuals are aware of the obligation to report non-compliance or animal welfare issues in a timely manner.

In cases where an animal’s immediate health or welfare is threatened, University Animal Care veterinarians have the authority to act on behalf of the animal’s welfare, up to and including immediate euthanasia.

**Mechanisms for reporting**

There are several mechanisms for reporting concerns, which can be in person, by phone or in writing:

- To the IACUC via an online form (https://rgw.arizona.edu/compliance/IACUC/welfare-concerns/adverse-event)
- To any member of the IACUC or IACUC Office staff
- To any UAC veterinarian or through University Animal Care
- To the independently operated University Ethics and Compliance Hotline at (866) 364-1908

**Confidentiality**

Reports can be made anonymously. The confidentiality of any complainant will be maintained by all individuals involved in the review and/or investigation of alleged non-compliance or animal welfare issues.

A University of Arizona employee who discloses and subsequently suffers an adverse personnel action as a result, is subject to the protection of ABOR Policy 6-914 Protection of Employees from Reprisal for Whistleblowing.

**JUSTIFICATION:**

AWAR (§2.31,c,4): The IACUC must “Review, and if warranted, investigate concerns involving the care and use of animals at the research facility resulting from public complaints received and from reports of non-compliance received from laboratory or research facility personnel or employees."

PHS Policy (IV,B,4): The IACUC must “review concerns involving the care and use of animals at the institution”.

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