



Proposal Institutional Information Quick Reference

Sponsored Project Services Office www.sps.arizona.edu			
Contact Information	Phone: (520) 626-6000 Fax: (520) 626-4137 E-mail: sponsor@email.arizona.edu	Express Mailing Address	888 N Euclid Room 510 Tucson AZ 85719-4824

Institutional Information

Applicant/Applicant Organization	Arizona Board of Regents, University of Arizona		
DUNS Number	806345617		
Employer Identification Number (EIN)	742652689		
NIH/PHS Entity Identification Number (EIN)	1742652689A1		
Organization Type	PUBLIC/STATE CONTROLLED INSTITUTION OF HIGHER EDUCATION; An 1862 Land-Grant University; Established in 1885		
Tax Exempt Status	Exempt from income tax under Internal Revenue Code Section 115; not 501(c)(3); AZ State Tax License: 20221243		
Authorized Representatives	Authorizing Official for the University of Arizona Kimberly Andrews Espy, Ph.D. Senior Vice President for Research University of Arizona P.O. Box 210158, Rm 510 Tucson AZ 85721-0158 Telephone: (520) 626-6000 E-mail: sponsor@email.arizona.edu	Administrative Official/ Fiscal Officer Paul Sandoval, Director, PostAward Sponsored Projects Services University of Arizona P.O. Box 210158, Rm 510 Tucson AZ 85721-0158 Telephone: (520) 626-6000 E-mail: sponsor@email.arizona.edu	
Checks Made Payable to	The University of Arizona		
US Mail Payment Address	University of Arizona Sponsored Projects Services/Bursar Office 1303 E. University Blvd, Box 3 Tucson AZ 85719-0521		
Express Mail Payment Address	University of Arizona Sponsored Projects Services/Bursar 888 N Euclid Room 104 Tucson AZ 85719		
State Legislative District	AZ-003	Congressional/Legislative District Locator: azredistricting.org/districtlocator	
House of Representatives Congressional District	AZ-003		
DHHS Rate Agreement Date	June 13, 2017		

Codes

ASAP (Automated Standard Application for Payments) Recipient ID	0448408
CAGE (Commercial and Government Entity)	0LJH3
Contractor Establishment	04-201-7848
FICE (Federal Interagency Committee on Education)	00-1083
NAICS (North American Industry Classification System)	611310
NSF (National Science Foundation) Organization	00-1083-5000
OPE (Office of Postsecondary Education) Number (US Dept. of Education)	00108300

Assurances

CCR/SAM.gov		Expires 04/20/2018
PHS Animal Welfare Assurance	D16-00159	Expires 08/31/2019
Human Subjects Federalwide Assurance (FWA)	00004218	Expires 11/04/2020
Research Misconduct Policy Assurance	DHHS ORI Certification	Expires 04/30/2018



Facilities and Administrative Cost Rates

Project Type	Effective Date	Rate	
On-Campus Organized Research	07/01/16 – 06/30/20	53.5%	F&A rates are applied to an MTDC base (Modified Total Direct Costs) as defined in the current Rate Agreement . Until amended, use same rates and conditions as those cited for fiscal year ending June 30, 2020.
Off-Campus Organized Research	07/01/16 – 06/30/20	26.0%	
On-Campus Instruction	07/01/16 – 06/30/20	50.0%	
Off-Campus Instruction	07/01/16 – 06/30/20	26.0%	
On-Campus Other Sponsored Activities	07/01/16 – 06/30/20	47.0%	
Off-Campus Other Sponsored Activities	07/01/16 – 06/30/20	26.0%	
Definition of Off-Campus	The off-campus rate is applicable to those projects that are conducted in facilities not owned, leased or operated by the University. If the project is conducted in leased space and lease costs are directly charged to the project, then the off-campus rate must be used. A project is considered off-campus if more than 50% of its salaries and wages are incurred at an off-campus facility. If a project is determined to be off-campus, it shall be considered wholly off-campus. Separate on and off-campus rates will not be used for a single project.		
Clinical Trial Rate	The University will accept a 25% Total Direct Cost F&A rate for non-federal/sub-federal clinical trials that meet the eligibility requirements and use the standard University agreement. The University must ensure that federal sponsors do not subsidize F&A costs of other sponsors. The clinical trial F&A rate of 25% TDC is a fair rate and adequately reimburses the University for F&A costs associated with conducting clinical trials. Studies not meeting all the eligibility criteria or studies not using the standard agreement or similar terms will be budgeted at the full on campus research F&A rate.		

Fringe Benefit Rates

Employee Related Expenses (ERE RATES) 7/1/17-6/30/18

Employee Category	Rate	
UA Employees Full-Benefit	32.0%	See Rate Agreement for more detailed information. The rate agreement date changes every year before the beginning of the fiscal year (July 1) when the fringe benefit rates are negotiated.
Faculty - Ancillary	22.8%	
Classified - Temporary	17.8%	
Student Employees	2.20%	
Graduate Assistants	13.0%	
Graduate Assistant Tuition Remission	As of 06/16/15 Tuition Remission is included as a mandatory benefit budgeted separate and distinct from ERE/fringe benefits, usually in the Other category of operations. It is still excluded from indirect cost calculations.	

Miscellaneous

Contract Pricing Proposal Cover Sheet (NASA and DOD)	Contract Administration Office: Office of Naval Research San Diego Regional Office 140 Sylvester Rd, Bldg 140, Rm 218 San Diego CA 92106-3521 Telephone: (619) 221-5490	Cognizant Audit Agency: DHHS Audit Agency Regional Inspector General for Audit Services 90 7 th Street, Suite 3-650 San Francisco CA 94103 Telephone: (415) 437-8360
ONR System Approval	10/16/2015 purchasing and billing systems, 10/23/2013 property control system	
Disclosure Statement	05/05/2017, Cost Accounting Standards Board (CASB) Form DS-2 accounting system approval	
UA Fact Book	www.factbook.arizona.edu/	
State Clearing House	SF424: Is Application Subject to Review by State Under Executive Order 12372 Process? Program is not covered by E. O. 12372	
Supplemental Compensation Rate	General University Policy – 1/3 of academic year salary (3 summer/9 academic) or academic salary x 0.00072 = hourly supplemental compensation rate for 464 hours. NSF Compensation Policy – NSF limits compensation, see the linked web page for more information.	
Hispanic Serving Institution (HSI)	The University of Arizona is an Emerging Hispanic-Serving Institution. The University of Arizona South is classified as a Hispanic-Serving Institution . Applications under Title V must originate from: Applicant Name: The University of Arizona, University of Arizona South OPE ID: 00108302	
Minority Institution	The University of Arizona is not a Minority Institution . The University of Arizona is an American Indian and Alaska Native-Serving Institution .	