Proposal Institutional Information Quick Reference

Sponsored Project Services Office
www.sps.arizona.edu

<table>
<thead>
<tr>
<th>Contact Information</th>
<th>Express Mailing Address</th>
</tr>
</thead>
<tbody>
<tr>
<td>Phone: (520) 626-6000</td>
<td></td>
</tr>
<tr>
<td>Fax: (520) 626-4137</td>
<td></td>
</tr>
<tr>
<td>E-mail: <a href="mailto:sponsor@email.arizona.edu">sponsor@email.arizona.edu</a></td>
<td>888 N Euclid Room 510</td>
</tr>
<tr>
<td></td>
<td>Tucson AZ 85719-4824</td>
</tr>
</tbody>
</table>

Applicant/Applicant Organization
Arizona Board of Regents, University of Arizona

DUNS Number 806345617
Employer Identification Number (EIN) 742652689
NIH/PHS Entity Identification Number (EIN) 1742652689A1

Organization Type
PUBLIC/STATE CONTROLLED INSTITUTION OF HIGHER EDUCATION; An 1862 Land-Grant University; Established in 1885

Tax Exempt Status
Exempt from income tax under Internal Revenue Code Section 115; not 501(c)(3); AZ State Tax License: 20221243

Authorized Representatives

Authorizing Official for the University of Arizona
Kimberly Andrews Espy, Ph.D.
Senior Vice President for Research
University of Arizona
P.O. Box 210158, Rm 510
Tucson AZ 85721-0158
Telephone: (520) 626-6000
E-mail: sponsor@email.arizona.edu

Administrative Official/Fiscal Officer
Paul Sandoval, Director, PostAward
Sponsored Projects Services
University of Arizona
P.O. Box 210158, Rm 510
Tucson AZ 85721-0158
Telephone: (520) 626-6000
E-mail: sponsor@email.arizona.edu

Checks Made Payable to
The University of Arizona

US Mail Payment Address
University of Arizona
Sponsored Projects Services/Bursar Office
1303 E. University Blvd, Box 3
Tucson AZ 85719-0521

Express Mail Payment Address
University of Arizona
Sponsored Projects Services/Bursar
888 N Euclid Room 104
Tucson AZ 85719

State Legislative District
AZ-003

Congressional/Legislative District Locator: azredistricting.org/districtlocator

House of Representatives
AZ-003

Congressional District
AZ-003

DHHS Rate Agreement Date
June 13, 2017

Codes

ASAP (Automated Standard Application for Payments) Recipient ID 0448408
CAGE (Commercial and Government Entity) 0LJH3
Contractor Establishment 04-201-7848
FICE (Federal Interagency Committee on Education) 00-1083
NAICS (North American Industry Classification System) 611310
NSF (National Science Foundation) Organization 00-1083-5000
OPE (Office of Postsecondary Education) Number (US Dept. of Education) 00108300

Assurances

CCR/SAM.gov Expires 04/20/2018
PHS Animal Welfare Assurance D16-00159 Expires 08/31/2019
Human Subjects Federalwide Assurance (FWA) 00004218 Expires 11/04/2020
Research Misconduct Policy Assurance DHHS ORI Certification Expires 04/30/2018

Revised 07/26/2017 – Please see Institutional Information webpage for current, complete information
### Facilities and Administrative Cost Rates

<table>
<thead>
<tr>
<th>Project Type</th>
<th>Effective Date</th>
<th>Rate</th>
</tr>
</thead>
<tbody>
<tr>
<td>On-Campus Organized Research</td>
<td>07/01/16 – 06/30/20</td>
<td>53.5%</td>
</tr>
<tr>
<td>Off-Campus Organized Research</td>
<td>07/01/16 – 06/30/20</td>
<td>26.0%</td>
</tr>
<tr>
<td>On-Campus Instruction</td>
<td>07/01/16 – 06/30/20</td>
<td>50.0%</td>
</tr>
<tr>
<td>Off-Campus Instruction</td>
<td>07/01/16 – 06/30/20</td>
<td>26.0%</td>
</tr>
<tr>
<td>On-Campus Other Sponsored Activities</td>
<td>07/01/16 – 06/30/20</td>
<td>47.0%</td>
</tr>
<tr>
<td>Off-Campus Other Sponsored Activities</td>
<td>07/01/16 – 06/30/20</td>
<td>26.0%</td>
</tr>
</tbody>
</table>

F&A rates are applied to an
**MTDC base (Modified Total Direct Costs)** as defined in the current **Rate Agreement**.

Until amended, use same rates and conditions as those cited for fiscal year ending June 30, 2020.

### Clinical Trial Rate

The University will accept a **25% Total Direct Cost** F&A rate for non-federal/sub-federal clinical trials that meet the eligibility requirements and use the standard University agreement. The University must ensure that federal sponsors do not subsidize F&A costs of other sponsors. The clinical trial F&A rate of 25% TDC is a fair rate and adequately reimburses the University for F&A costs associated with conducting clinical trials. Studies not meeting all the eligibility criteria or studies not using the standard agreement or similar terms will be budgeted at the full on campus research F&A rate.

### Fringe Benefit Rates

**Employee Related Expenses (ERE) RATES** 7/1/17-6/30/18

<table>
<thead>
<tr>
<th>Employee Category</th>
<th>Rate</th>
<th>See <a href="#">Rate Agreement</a> for more detailed information. The rate agreement date changes every year before the beginning of the fiscal year (July 1) when the fringe benefit rates are negotiated.</th>
</tr>
</thead>
<tbody>
<tr>
<td>UA Employees Full-Benefit</td>
<td>32.0%</td>
<td></td>
</tr>
<tr>
<td>Faculty - Ancillary</td>
<td>22.8%</td>
<td></td>
</tr>
<tr>
<td>Classified - Temporary</td>
<td>17.8%</td>
<td></td>
</tr>
<tr>
<td>Student Employees</td>
<td>2.20%</td>
<td></td>
</tr>
<tr>
<td>Graduate Assistants</td>
<td>13.0%</td>
<td></td>
</tr>
<tr>
<td>Graduate Assistant Tuition Remission</td>
<td>As of 06/16/15 <a href="#">Tuition Remission</a> is included as a mandatory benefit budgeted separate and distinct from ERE/fringe benefits, usually in the Other category of operations. It is still excluded from indirect cost calculations.</td>
<td></td>
</tr>
</tbody>
</table>

### Miscellaneous

<table>
<thead>
<tr>
<th><strong>Contract Pricing Proposal Cover Sheet</strong> (NASA and DOD)</th>
<th><strong>Contract Administration Office:</strong> Office of Naval Research San Diego Regional Office 140 Sylvester Rd, Bldg 140, Rm 218 San Diego CA 92106-3521 Telephone: (619) 221-5490</th>
<th><strong>Cognizant Audit Agency:</strong> DHHS Audit Agency Regional Inspector General for Audit Services 90 7th Street, Suite 3-650 San Francisco CA 94103 Telephone: (415) 437-8360</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>ONR System Approval</strong> 10/16/2015 purchasing and billing systems, 10/23/2013 property control system</td>
<td><strong>Disclosure Statement</strong> 05/05/2017, Cost Accounting Standards Board (CASB) Form DS-2 accounting system approval</td>
<td><strong>State Clearing House</strong> SF424: Is Application Subject to Review by State Under Executive Order 12372 Process? Program is not covered by E. O. 12372 <strong>Supplemental Compensation Rate</strong> <strong>General University Policy</strong> – 1/3 of academic year salary (3 summer/9 academic) or academic salary x 0.00072 = hourly supplemental compensation rate for 464 hours. <strong>NSF Compensation Policy</strong> – NSF limits compensation, see the linked web page for more information. <strong>Hispanic Serving Institution (HSI)</strong> The University of Arizona is an Emerging Hispanic-Serving Institution. The University of Arizona South is classified as a Hispanic-Serving Institution. Applications under Title V must originate from: <strong>Applicant Name:</strong> The University of Arizona, University of Arizona South <strong>OPE ID:</strong> 00108302 <strong>Minority Institution</strong> The University of Arizona is not a Minority Institution. The University of Arizona is an American Indian and Alaska Native-Serving Institution.</td>
</tr>
</tbody>
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