



## Proposal Institutional Information Quick Reference

Sponsored Project Services Office <a href="http://www.sps.arizona.edu">www.sps.arizona.edu</a>			
<b>Contact Information</b>	Phone: (520) 626-6000 Fax: (520) 626-4137 E-mail: <a href="mailto:sponsor@email.arizona.edu">sponsor@email.arizona.edu</a>	<b>Express Mailing Address</b>	888 N Euclid Room 510 Tucson AZ 85719-4824

## Institutional Information

<b>Applicant/Applicant Organization</b>	Arizona Board of Regents, University of Arizona		
<b>DUNS Number</b>	806345617		
<b>Employer Identification Number (EIN)</b>	742652689		
<b>NIH/PHS Entity Identification Number (EIN)</b>	1742652689A1		
<b>Organization Type</b>	PUBLIC/STATE CONTROLLED INSTITUTION OF HIGHER EDUCATION; An 1862 Land-Grant University; Established in 1885		
<b>Tax Exempt Status</b>	Exempt from income tax under Internal Revenue Code Section 115; <b>not</b> 501(c)(3); <b>AZ State Tax License:</b> 20221243		
<b>Authorized Representatives</b>	<b>Authorizing Official for the University of Arizona</b> Kimberly Andrews Espy, Ph.D. Senior Vice President for Research University of Arizona P.O. Box 210158, Rm 510 Tucson AZ 85721-0158 Telephone: (520) 626-6000 E-mail: <a href="mailto:sponsor@email.arizona.edu">sponsor@email.arizona.edu</a>	<b>Administrative Official/ Fiscal Officer</b> Paul Sandoval, Director, PostAward Sponsored Projects Services University of Arizona P.O. Box 210158, Rm 510 Tucson AZ 85721-0158 Telephone: (520) 626-6000 E-mail: <a href="mailto:sponsor@email.arizona.edu">sponsor@email.arizona.edu</a>	
<b>Checks Made Payable to</b>	The University of Arizona		
<b>US Mail Payment Address</b>	University of Arizona Sponsored Projects Services/Bursar Office 1303 E. University Blvd, Box 3 Tucson AZ 85719-0521		
<b>Express Mail Payment Address</b>	University of Arizona Sponsored Projects Services/Bursar 888 N Euclid Room 104 Tucson AZ 85719		
<b>State Legislative District</b>	AZ-003	Congressional/Legislative District Locator: <a href="http://azredistricting.org/districtlocator">azredistricting.org/districtlocator</a>	
<b>House of Representatives Congressional District</b>	AZ-003		
<b>DHHS Rate Agreement Date</b>	August 29, 2016		

## Codes

<b>ASAP (Automated Standard Application for Payments) Recipient ID</b>	0448408
<b>CAGE (Commercial and Government Entity)</b>	0LJH3
<b>Contractor Establishment</b>	04-201-7848
<b>FICE (Federal Interagency Committee on Education)</b>	00-1083
<b>NAICS (North American Industry Classification System)</b>	611310
<b>NSF (National Science Foundation) Organization</b>	00-1083-5000
<b>OPE (Office of Postsecondary Education) Number (US Dept. of Education)</b>	00108300

## Assurances

<b>CCR/SAM.gov</b>		Expires 04/20/2018
<b>PHS Animal Welfare Assurance</b>	D16-00159	Expires 08/31/2019
<b>Human Subjects Federalwide Assurance (FWA)</b>	00004218	Expires 11/04/2020
<b>Research Misconduct Policy Assurance</b>	DHHS ORI Certification	Expires 04/30/2018



### Facilities and Administrative Cost Rates

Project Type	Effective Date	Rate	
On-Campus Organized Research	07/01/16 – 06/30/20	53.5%	F&A rates are applied to an <b>MTDC base</b> (Modified Total Direct Costs) as defined in the current <a href="#">Rate Agreement</a> .  Until amended, use same rates and conditions as those cited for fiscal year ending June 30, 2020.
Off-Campus Organized Research	07/01/16 – 06/30/20	26.0%	
On-Campus Instruction	07/01/16 – 06/30/20	50.0%	
Off-Campus Instruction	07/01/16 – 06/30/20	26.0%	
On-Campus Other Sponsored Activities	07/01/16 – 06/30/20	47.0%	
Off-Campus Other Sponsored Activities	07/01/16 – 06/30/20	26.0%	
<b>Definition of Off-Campus</b>	The off-campus rate is applicable to those projects that are conducted in facilities not owned leased or operated by the University. If the project is conducted in leased space and lease costs are directly charged to the project, then the off-campus rate must be used. A project is considered off-campus if more than 50% of its salaries and wages are incurred at an off-campus facility. If a project is determined to be off-campus, it shall be considered wholly off-campus. Separate on and off-campus rates will not be used for a single project.		
<b>Clinical Trial Rate</b>	The University will accept a <b>25% Total Direct Cost</b> F&A rate for <b>non-federal/sub-federal</b> clinical trials that meet the <a href="#">eligibility requirements</a> and use the standard University agreement. The University must ensure that federal sponsors do not subsidize F&A costs of other sponsors. The clinical trial F&A rate of 25% TDC is a fair rate and adequately reimburses the University for F&A costs associated with conducting clinical trials. Studies not meeting all the eligibility criteria or studies not using the standard agreement or similar terms will be budgeted at the full on campus research F&A rate.		

### Fringe Benefit Rates

Employee Related Expenses (ERE RATES) 7/1/16-6/30/17

Employee Category	Rate	
UA Employees Full-Benefit	34.9%	See <a href="#">Rate Agreement</a> for more detailed information. The rate agreement date changes every year before the beginning of the fiscal year (July 1) when the fringe benefit rates are negotiated.
Faculty - Ancillary	26.1%	
Classified - Temporary	13.7%	
Student Employees	1.7%	
Graduate Assistants	13.4%	
Graduate Assistant Tuition Remission	As of 06/16/15 <a href="#">Tuition Remission</a> is included as a mandatory benefit budgeted separate and distinct from ERE/fringe benefits, usually in the Other category of operations. It is still excluded from indirect cost calculations.	

### Miscellaneous

<b>Contract Pricing Proposal Cover Sheet</b>  (NASA and DOD)	<b>Contract Administration Office:</b> Office of Naval Research San Diego Regional Office 140 Sylvester Rd, Bldg 140, Rm 218 San Diego CA 92106-3521 Telephone: (619) 221-5490	<b>Cognizant Audit Agency:</b> DHHS Audit Agency Regional Inspector General for Audit 50 United Nations Plaza, Room 171 San Francisco CA 94102 Telephone: (415) 437-8360
<b>UA Fact Book</b>	<a href="http://www.factbook.arizona.edu/">www.factbook.arizona.edu/</a>	
<b>State Clearing House</b>	SF424: Is Application Subject to Review by State Under Executive Order 12372 Process? <b>Program is not covered by E. O. 12372</b>	
<b>Supplemental Compensation Rate</b>	<b>NSF Compensation Policy</b> – Senior personnel are limited to no more than 2 months of their regular salary (academic, summer supplemental or fiscal) in any one year from all NSF-funded grants. Any compensation for senior personnel in excess of two months must be disclosed in the proposal budget, budget justification and specifically approved in the award notice budget. <b>General University Policy</b> – 1/3 of academic year salary (3 summer/9academic) or academic salary x 0.00072 = hourly supplemental compensation rate for 464 hours.	
<b>Hispanic Serving Institution (HSI)</b>	The University of Arizona is an <b>Emerging</b> Hispanic-Serving Institution. The University of Arizona South is classified as a <a href="#">Hispanic-Serving Institution</a> . Applications under Title V must originate from: <b>Applicant Name:</b> The University of Arizona, University of Arizona South <b>OPE ID:</b> 00108302	
<b>Minority Institution</b>	The University of Arizona is not a <a href="#">Minority Institution</a> . The University of Arizona is an <a href="#">American Indian and Alaska Native-Serving Institution</a> .	